

Docket No. 284119US2X PCT



**MAIL STOP PCT**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Katsutoshi TAKAGI, et al.

SERIAL NO: 10/564,502

GAU:

FILED: January 13, 2006

EXAMINER:

FOR: AG BASE SPUTTERING TARGET AND PROCESS FOR PRODUCING THE SAME

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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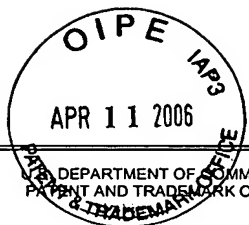
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
284119US2X PCTSERIAL NO.  
10/564,502

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Katsutoshi TAKAGI, et al.FILING DATE  
January 13, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2004/0238356	12/02/04	MATSUZAKI et al.			
	AB	2004/0226818	11/18/04	TAKAGI et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	2003-113433	04/18/03	JP (with English abstract)		NO
	AL	2003-89830	03/28/03	JP (with English abstract)		NO
	AM	2003-34828	02/07/03	JP (with English abstract)		NO
	AN	2001-76955	03/23/01	JP (with English abstract)		NO
	AO	2001/012358	02/22/01	WO (with English abstract)		NO
	AP	6-264232	09/20/94	JP (with English abstract)		NO
	AQ	2004-43868	02/12/04	JP (with English abstract)		NO
	AR	2004-84065	03/18/04	JP (with English abstract & equivalent of WO2004/001093)		NO
	AS	9-324264	12/16/97	JP (with English abstract)		NO
	AT	2000-239835	09/05/00	JP (with English abstract)		NO
	AU	2002-183462	06/28/02	JP (with English abstract)		NO
	AV	2002-183463	06/28/02	JP (with English abstract)		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	
		<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



U.S. PCT Application Serial No: 10/564,502

Filed: January 13, 2006

Katsutoshi TAKAGI, et al.

Docket No. 284119 US

#### STATEMENT OF RELEVANCY

- 1) References AK - AR have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AS - AV are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AA - AB are additional prior art known to Applicant.